



## Bangladesh Securities and Exchange Commission

BSEC/Enforcement/2635/2018/25

By Special Messenger

Dated: January 05, 2022

Rose Securities Limited  
(DSE TREC holder no.125)  
Room # 702, Shahnewaz Bhaban (6th Floor)  
9/C, Motijheel C/A, Dhaka-1000

9-1-22  
D.M. (MIS)

**Attention:** Managing Director/CEO

**Subject: Warning to ensure compliance of all securities related laws in future.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2635/2018/1009 dated November 21, 2021 and subsequent correspondence, based on which the hearing was conducted on January 03, 2022 .

The Commission, considering your explanation vide letter dated January 03, 2022 has decided to dispose of the proceedings against Rose Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission

Mahmuda Shireen  
05.01.22

**Mahmuda Shireen**  
Deputy Director (Enforcement)  
E-mail: [shireen@sec.gov.bd](mailto:shireen@sec.gov.bd)

**Copy for information:**

1. P.S. to Chairman, BSEC
2. P.O. to Executive Director (SRI), BSEC
3. P.O. to Executive Director (MIS), BSEC
4. Managing Director, Dhaka Stock Exchange Limited