

**Bangladesh Securities and Exchange Commission**  
**Enforcement Division**  
**Enforcement Department**  
**Market, Market Intermediaries and Other Affairs Section**  
[www.sec.gov.bd](http://www.sec.gov.bd)

BSEC/Enforcement/3603/2024/598  
Dated: July 11, 2024

By Special Messenger

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| 01. Royal Capital Ltd.<br>(DSE TREC Holder No# 021)<br>Room # 325, DSE Annex Building (2nd Floor), 9/E, Motijheel C/A, Dhaka 1000.   | (DSE TREC Holder No# 021)<br>Room # 325, DSE Annex Building (2nd Floor), 9/E, Motijheel C/A, Dhaka 1000.  |
| 02. Mr. M. Munir Ahmed<br>Managing Director and Compliance Authority<br>Royal Capital Ltd.<br>(DSE TREC Holder No# 021)<br>Room # 325, DSE Annex Building (2nd Floor), 9/E, Motijheel C/A, Dhaka 1000. | 04. Ms. Jamila Ahsan<br>Compliance Officer (Farmgate Branch)<br>Royal Capital Ltd.<br>(DSE TREC Holder No# 021)<br>Room # 325, DSE Annex Building (2nd Floor), 9/E, Motijheel C/A, Dhaka 1000.      |
| 03. Mr. Md. Sahanur Islam<br>Farmgate Branch In-Charge<br>Royal Capital Ltd.   | 05. Mr. Md. Akhteruzzaman<br>Compliance Officer (Farmgate Branch)<br>Royal Capital Ltd.<br>(DSE TREC Holder No# 021)<br>Room # 325, DSE Annex Building (2nd Floor), 9/E, Motijheel C/A, Dhaka 1000. |

**Attention: Managing Director/CEO/Alleged Person**

**Subject:** Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3603/2024/514 dated June 09, 2024 based on which the hearing was conducted on June 24, 2024.

The Commission, considering your adjustment of irregularities vide your letter dated 24.06.2024 has decided to dispose of the proceedings against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

  
11.07.2024  
**Md. Mahmudul Hasan**  
Assistant Director (Enforcement)  
Mobile: 01515292195  
Email: mahmudulhasan@sec.gov.bd  
Copy for information:

1. PS to Chairman, BSEC.
2. ~~PO~~ to Executive Director (SMMID), BSEC. With reference to the note dated: January 25, 2024.
3. ~~PO~~ to Executive Director (ICTD), BSEC.
4. Managing Director, Dhaka Stock Exchange PLC. With reference no. DSE/MCD/BSEC/2024/019/155 Dated: January 09, 2024

Securities Commission Bhaban, E-6/C, Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.