

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3217/2021/ ৭৭

Dated: January 12, 2022

Royal Capital Ltd. (TREC# 021)

Room # 325, DSE Annex Building (2nd Floor), 9/E, Motijheel C/A
Dhaka-1000

Attention: Managing Director/CEO

Subject: Warning to Ensure Compliance of All Securities Related Laws in Future.


By Courier Service
16-01-2022
DD-1 (MIS)

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3222/2021/1184 dated December 19, 2021 and rescheduled notice no. BSEC/Enforcement/3222/2021/57 dated January 02, 2022 based on which the hearing was conducted on January 10, 2022.

The Commission, considering the explanation vide letter no. Nil dated January 10, 2022 which was submitted at the time of hearing, has decided to dispose of the proceedings against Royal Capital Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission.


12-01-2022

Muhammad Sadequr Rahman Bhuiyan
Assistant Director (Enforcement)

Copy for information:

Chairman's Office, BSEC

Managing Director, Dhaka Stock Exchange Ltd.

Executive Director (SRI), BSEC

Executive Director (MIS), BSEC