



BANGLADESH SECURITIES AND EXCHANGE COMMISSION
Securities Commission Bhaban
E-6/C Agargaon, Sher-e-Bangla Nagar
Administrative Area, Dhaka 1207, Bangladesh



BSEC/Enforcement/3128/2021/ 988
Dated: November 17, 2021

By Courier Service

Royal Capital Ltd.
(TREC holder no. 021)
Room # 325, DSE Annex Building (2nd Floor)
9/E, Motijheel C/A
Dhaka-1000.

Attention: Managing Director/CEO

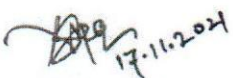
Sub: **Non-compliance of securities laws: -Warning.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3128/2021/839 dated October 10, 2021 and reschedule notice no. BSEC/Enforcement/3128/2021/931 based on which the hearing was conducted on November 08, 2021.

The Commission, considering the explanation vide letter no. Nil dated November 08, 2021 which was submitted at the time of hearing, has decided to dispose of the proceedings against Royal Capital LTD by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission


17.11.2021
Muhammad Sadequr Rahman Bhuiyan
Assistant Director
Enforcement Department

Copy for information:

Chairman's Office, BSEC
Executive Director (SRI), BSEC
Executive Director (MIS), BSEC
Managing Director, Dhaka Stock Exchange Ltd.

“মুজিববর্ষের অঙ্গীকার উন্নয়ন-অর্থায়নের উৎস হবে পুঁজিবাজার”