

বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন

BSEC/Enforcement/2939/2020/1016

By Special Messenger

Dated: November 23, 2021

Royal Capital Limited
(DSE TREC holder no. 21)
Navana GH Heights (Floor A-10 Plot# 67 (New), 767 (old),
Sat Mosjid Road, R/A, Dhaka 1209

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2939/2020/816 dated October 7, 2021 based on which the hearing was conducted on October 25, 2021:

The Commission, considering your explanation vide letter dated 25.10.2021 has decided to dispose of the proceedings against Royal Capital Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,


23.11.2021

Md. Mahmudul Hasan
Assistant Director (Enforcement)
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Email: mahmudulhasan@sec.gov.bd

Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. PO to Executive Director (MIS), BSEC.
4. Managing Director, Dhaka Stock Exchange Ltd.