



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/2939/2020/1410

Dated: October 30, 2022

Royal Capital Limited
(TREC Holder #021)
Room # 325, DSE Annex Building (2nd Floor)
9/E, Motijheel C/A
Dhaka-1000

Attention: Managing Director/CEO

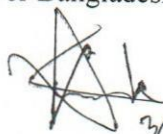
Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's Show cause cum hearing notice no. BSEC/Enforcement/2939/2020/1210 Dated December 21, 2021 based on which the hearing was conducted on October 16, 2022.

The Commission, considering and examining the facts regarding allegations, physical meeting and your written explanations vide letter dated October 16, 2022, has decided to dispose of the matter against Royal Capital Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,



30.10.2022

Mohammed Abu Hena Mostafa

Assistant Director (Enforcement)

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Copy for information:

1. PS to the Honorable Chairman, BSEC
2. P.O to Executive Director (SRI), BSEC
3. P.O to Executive Director (MIS)
4. M.D, DSE