

Bangladesh Securities and Exchange Commission  
Enforcement Division  
Enforcement Department  
Market, Market Intermediaries and Other Affairs Section  
[www.sec.gov.bd](http://www.sec.gov.bd)

BSEC/Enforcement/3544/2024/202  
Dated: February 28, 2024

By Special Messenger

01. Royal Green Securities Limited (DSE TREC # 077), Room # 618, 619, 709 & 715, Stock Exchange Building, 9/F, Motijheel C/A, Dhaka-1000.
02. Mr. Md. Ziaur Rashid, Compliance Officer, Royal Green Securities Limited, Room # 618, 619, 709 & 715, Stock Exchange Building, 9/F, Motijheel C/A, Dhaka-1000.
03. Mr. Md. Ataur Rahman, Accounts In-Charge, Royal Green Securities Limited, Room # 618, 619, 709 & 715, Stock Exchange Building, 9/F, Motijheel C/A, Dhaka-1000.

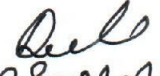
**Subject: Warning to ensure compliance of all securities related laws in future.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3544/2024/123 dated 01 February 2024 based on which the hearing was conducted on 18 February 2024.

The Commission, considering your explanation vide letter ref. RGSL/BSEC/Show-cause-01/2024 dated 18.02.2024 has decided to dispose of the proceedings against you/your's by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

  
28-02-2024

Rubel Hossain  
Assistant Director (Enforcement)  
E-mail: [rubel@sec.gov.bd](mailto:rubel@sec.gov.bd)  
Mobile : 01922-151361

Copy for information:

1. Chairman Office, BSEC.
2. PO to Executive Director (MIAD), BSEC; with reference to DSE letter no. DSE/MCD/BSEC/2023/019/7306 dated 19<sup>th</sup> December 2024.
3. PO to Executive Director (ICTD), BSEC.
4. Managing Director, Dhaka Stock Exchange Limited.