

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/2587/2018/527

By Special Messenger

March 31, 2022

Royal Green Securities Limited
(DSE TREC holder no.077)
Room # 618, 619, 709 & 715
Stock Exchange Building
9/F, Motijheel C/A, Dhaka-1000

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2587/2018/439 dated March 09, 2022 based on which the hearing was conducted on March 21, 2022.

The Commission, considering your explanation vide letter dated March 21, 2022 has decided to dispose of the proceedings against Royal Green Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission

Mahmuda Shireen
31.03.22

Mahmuda Shireen
Deputy Director (Enforcement)
E-mail: shireen@sec.gov.bd

Copy for information:

1. P.S. to Chairman, BSEC
2. P.O. to Executive Director (SRI), BSEC
3. P.O. to Executive Director (MIS), BSEC
4. Managing Director, Dhaka Stock Exchange Limited