



# বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন

স্বীকৃত বীমা টাওয়ার (১৫, ১৬, ১৭ ও ২১তম তলা), ১০ দিলকুশা বা/এ, ঢাকা-১০০০, বাংলাদেশ।

সিকিউরিটিজ কমিশন ভবন, ই-৬/সি আগারগাও, শেরে বাংলা নগর, ঢাকা

BSEC/Enforcement/2587/2018/262

Dated: 11 July, 2018

## Royal Green Securities Limited

DSE TREC Holder # 77

Room# 618, 619, 709 & 715,

Stock Exchange Building, 9/F

Motijheel C/A,

Dhaka-1000.

**Attention:** Managing Director/CEO

**Subject:** Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2587/2018/222 dated June 05, 2018 based on which the hearing was conducted on June 27, 2018.

The Commission, considering your explanation vide letter dated 26.06.18 has decided to dispose of the proceedings against Royal Green Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

*AM*  
11.07.18

**Mohammad Al Masum Mirdha**

Deputy Director (Enforcement)

Phone : 55007147

Copy for information:

1. Managing Director, Dhaka Stock Exchange Ltd.
2. PS to the Honorable Chairman, BSEC.
3. PO to Executive Director (SRI), BSEC.
4. PO to Executive Director (Registration), BSEC.
- ✓ 5. PO to Executive Director (MIS), BSEC

*AM*  
12.7.18

D(MIS)

*AM*  
12.7.18  
Mr. Gooch, MD