

**BSEC/Enforcement/2170/2014/688**

**Dated: November 16 , 2014**

**SES Company Limited**  
DSE TREC Holder No. 163  
Noor Tower 5<sup>th</sup> Floor  
73 Sonargaon Road  
Dhaka-1205.

**By Special Messengers**

**Attention:** Managing Director/CEO

**Subject: Non-compliance of securities laws: Warning.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2170/2014/599 dated September 08, 2014 based on which the hearing was conducted on September 24, 2014.

The Commission, considering your explanation vide letter No.SES/COMP/MSAB/0574 dated 24.09.2014 has decided to dispose of the proceedings against SES Company Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

**Md. Yusuf Bhuiyan**  
Deputy Director (Enforcement)  
Phone: 9573904

Copy for information:

1. PS to the Honorable Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. PO to Executive Director (Registration), BSEC.
4. PO to Executive Director (MIS), BSEC
5. Managing Director, Dhaka Stock Exchange Ltd.