

বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন

BSEC/Enforcement/3087/2021/৪৪৭
Dated: October 21, 2021

By Special Messenger

SOHEL SECURITIES LTD.
(CSE TREC No. 076)
Finlay House (Ground Floor)
11, Agrabad C/A
Chattogram.

01 NOV 2021
D/MS

Attention: Managing Director/CEO

Subject: Non-compliance of securities related laws: Disposal of the alleged matter.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3087/2021/596 dated September 1, 2021 based on which the hearing was conducted on 20.09.2021.

The Commission, considering your explanation vide letter dated 19th September, 2021 has decided to dispose of the matter of SOHEL SECURITIES LTD.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

Almasum
21.10.2021

Mohammad Al Masum Mirdha
Additional Director (Enforcement)
Phone : 55007147
Email: almasum@sec.gov.bd

Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. PO to Executive Director (MIS), BSEC
4. Managing Director, Chittagong Stock Exchange Ltd