

Bangladesh Securities and Exchange Commission
Enforcement Division
Enforcement Department
Issuer Companies Affairs Section
www.sec.gov.bd

BSEC/Enforcement/2781/2019/459
Dated: 13 May, 2024

By Special Messenger

- 01. SIBL Securities Limited**
(DSE TREC No. 094)
3rd Floor, 15, Dilkusha C/A Dhaka-1000
- 02. Mr. Walid Mahmud Sobhani**
Chief Executive Officer
SIBL Securities Limited.
- 03. Mr. Abdul Hannan Khan**
Managing Director & Compliance Authority
SIBL Securities Limited.
- 04. Mr. Md. Zikrul Haque**
Compliance Officer
SIBL Securities Limited
- 05. Mr. S. M. Shafiullah**
Bashundhara Branch In-Charge
SIBL Securities Limited
- 06. Mr. Md. Ahsanul Haque**
Compliance Officer of Bashundhara Branch
SIBL Securities Limited
- 07. Mr. Sheikh Md. Nahid**
Trader
SIBL Securities Limited
- 08. Mr. Fahimul Haque**
Trader of Bashundhara Branch
SIBL Securities Limited

Subject: Warning to ensure compliance of all securities related law in future.

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement/2781/2019/331, Date: 02 April, 2024 based on which the hearing was conducted on 05 May, 2024.


The Commission, considering and examining the facts regarding allegations and your written explanations vide letter Ref. SIBLSL/HO/BSEC/2024/574 dated May 02, 2024 which was submitted at the time of hearing, has decided to dispose of the matter against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.



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Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,


13/5/24

Rabiul Islam
Assistant Director (Enforcement)
Mobile: 01731-421312
Email: rabiul@sec.gov.bd

Copy for information:

1. PS to the Honorable Chairman, BSEC
2. P.O. to Commissioner (Enforcement), BSEC
3. P.O to Executive Director (MIS)
4. P.O. to Director (Enforcement), BSEC.
5. Managing Director, Dhaka Stock Exchange Ltd.