

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3072/2021/671
Dated: April 25, 2022

By Special Messenger

SIBL Securities Ltd. (DSE TREC # 094)
3rd Floor, 15, Dilkusha C/A
Dhaka-1000.

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3072/2021/342 dated February 22, 2022 based on which the hearing was conducted on April 21, 2022.

The Commission, considering your explanation vide letter dated 21.04.2022 has decided to dispose of the proceedings against SIBL Securities Ltd. (DSE TREC # 094) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

Rubel
25-04-22

Rubel Hossain
Assistant Director (Enforcement)
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Mobile : 01922-151361.

Copy for information:

1. PO to Executive Director (MSI), BSEC.
2. PO to Executive Director (MIS), BSEC.
3. PS to Chairman, BSEC.
4. Managing Director, Dhaka Stock Exchange Ltd.