

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/2617/2018/868

Dated: June 15, 2022

S & H Equities Ltd. (DSE TREC No. 002)
Room # 723, Stock Exchange Building, 9/F,
Motijheel C/A, Dhaka-1000

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3178/2021/719 dated May 18, 2022 based on which the hearing was conducted on June 05, 2022.

Considering your explanation vide letter S&H EQU/2022/27 dated 05.06.2022 submitted at the time of hearing, the Commission has decided to dispose of the proceedings against S & H Equities Ltd by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

Mahmuda Shireen

15. 06. 22

Mahmuda Shireen
Deputy Director (Enforcement)
E-mail: shireen@sec.gov.bd

Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. PO to Executive Director (MIS), BSEC.
4. Managing Director, Dhaka Stock Exchange Ltd.