



## Bangladesh Securities and Exchange Commission

BSEC/Enforcement/2756/2019/43

By Special Messenger

January 06, 2022

S.R. Capital Limited  
(CSE TREC No.038)  
Anando Tower (3rd Floor)  
North Dupadighir Par  
Jail Road, Sylhet-3100

2  
16.01.22  
DD-1 (MIS)

**Subject: Warning to ensure compliance of all securities related laws in future.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2756/2019/1092 dated December 08, 2021 and subsequent correspondence, based on which the hearing was conducted on January 03, 2022.

The Commission, considering your explanation vide letter dated January 03, 2022 has decided to dispose of the proceedings against S.R. Capital Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission

Mahmuda Shireen  
06.01.22

**Mahmuda Shireen**  
Deputy Director (Enforcement)  
E-mail: [shireen@sec.gov.bd](mailto:shireen@sec.gov.bd)

**Copy for information:**

1. P.S. to Chairman, BSEC
2. P.O. to Executive Director (SRI), BSEC
3. P.O. to Executive Director (MIS), BSEC
4. Managing Director, Chittagong Stock Exchange Limited