

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/2066/2014/466

By Courier Service

Dated: 15 March, 2022

Saad Securities Ltd.
(TREC holder no. 118)
Karim Chamber (1st Floor), 99, Motijheel C/A
Dhaka-1000

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Attention: Managing Director/CEO

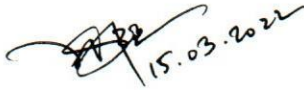
Subject: Warning to Ensure Compliance of All Securities Related Laws in Future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2066/2014/128 dated January 19, 2022 based on which the hearing was conducted on February 07, 2022 and rescheduled on March 07, 2022.

The Commission, considering the explanation vide letter no. Nil dated March 07, 2022 which was submitted at the time of hearing, has decided to dispose of the proceedings against Shah Saad Securities Ltd. by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission


15.03.2022

Muhammad Sadequr Rahman Bhuiyan
Assistant Director (Enforcement)

Copy for information:

Chairman's Office, BSEC
Managing Director, Dhaka Stock Exchange Ltd.
Executive Director (SRI), BSEC
Executive Director (MIS), BSEC