



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/3441/2023/ 513

By Special Messenger

Dated: July 11, 2023

Safiqul Islam
(BO ID# 1201950062627463 and 1205590062218631)
Address: 2/8, Willson Road, Word#23
Nabiganj, Bandar, Narayanganj.

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3311/2023/246 dated March 05, 2023 and subsequent reschedule letter No. BSEC/Enforcement/3311/2022/444 dated May 28, 2023 based on which the hearing was conducted on June 11, 2023.

The Commission, considering your explanation vide letter dated 11.06.2023 has decided to dispose of the proceedings against Safiqul Islam by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

11.07.2023

Md. Mahmudul Hasan
Assistant Director (Enforcement)
Mobile: 01515292195
Email: mahmudulhasan@sec.gov.bd

Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (ICTD), BSEC.
3. PO to Executive Director (MIAD)-With reference to Surveillance order No. BSEC/Surveillance/2011-0754/822 dated August 22, 2017.
4. Managing Director, Dhaka Stock Exchange Limited