

এসজিএফ'ক মন্বকডিউআর এ'ব'উ গ' প'আ ক'গ'ক'ব  
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**SEC/Enforcement/1071/2013/291**

**Dated: April 23, 2013**

**By Special Messenger**

Fax 880-2-9555707

ICB Capital Management Limited  
8, D.I.T Avenue (14<sup>th</sup> Floor)  
Dhaka-1000

Attention: **Managing Director/CEO**

**Sub: Non-compliance of securities related laws. WARNING**

This refers to the Commission's show cause cum hearing notice No.SEC/Enforcement/1071/2013/05 dated January 20, 2013 based on which the hearing conducted on February 04, 2013.

The Commission, considering the explanation vide letter No. Ref: ICML/HO/IM/3169 dated February 04, 2013 submitted at the time of hearing, has decided to dispose of the proceedings against ICB Capital Management Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the stated default.

For Bangladesh Securities and Exchange Commission

**Md. Hossain Khan**

Deputy Director

**Copy for information:**

Chief Executive Officer, Dhaka Stock Exchange Ltd.

Chief Executive Officer, Chittagong Stock Exchange Ltd.

Commissioner (Enforcement), SEC

Executive Director (SRMIC), SEC

Executive Director (MIS), SEC

Chairman's Office