

**SEC/Enforcement/671/2008/  
June 23, 2008**

Salta Capital Limited  
Stock Broker  
DSE & CSE Member  
Manjur Building (2<sup>nd</sup> Floor)  
67 Agrabad C/A  
Chittagong-4100

Attention: **Chief Executive Officer**

Subject: **Non-compliance of securities law: Warning**

This refers to the Commission's show-cause-cum hearing notice No.SEC/Enforcement/671/2008/449 dated May 8, 2008, based on which the hearing was conducted on June 18, 2008, and your explanations submitted to the Commission vide letter dated June 15, 2008 in respect of the said notice.

The Commission, considering the Stock Broker's explanations/submissions, has decided to dispose of the proceedings against you by placing on record the Commission's dissatisfaction on the defaults/contraventions made by you, with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of your above said default/contravention.

For Securities and Exchange Commission

**Farhad Ahmed**  
**Executive Director**

**CC:**

Chief Executive Officer, Dhaka Stock Exchange Limited  
Chief Executive Officer, Chittagong Stock Exchange Limited  
P. O. to Executive Director (SRMID), SEC  
P. O. to Executive Director (R & D), SEC  
P. O. to Executive Director (Surveillance), SEC  
P. O. to Executive Director (CI), SEC  
P. O. to Executive Director (Registration), SEC  
Director (MIS), SEC  
Chairman's Office, SEC