

BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/2564/2018/1103 Dated: August 16, 2022

Salta Capital Limited (CSE TREC No. 22) Manzur Building (2nd & 3rd Floor) 67, Agrabad C/A, Chattogram.

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2564/2018/548 dated April 05, 2022 based on which the hearing was conducted on April 21, 2022.

The Commission, considering your explanation letter dated 21.04.2022 and updated report submitted by CSE ref no. CSE/RAD/I&E/BSEC/S.in/2022/01, dated-June 16, 2022 has decided to dispose of the proceedings against Salta Capital Limited (CSE TREC No. 22) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

Rubel Hossain

Assistant Director (Enforcement)

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Copy for information:

1. PS to Chairman, BSEC.

2. PO to Executive Director (SRI), BSEC.

3. PO to Executive Director (MIS), BSEC.

4. Managing Director, Chittagong Stock Exchange Ltd.

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