



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

~~UBON BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C/A, DHAKA-1000, BANGLADESH~~

E-6/C, Agargaon, Shere Bangla Nagar, Dhaka-1207

BSEC/Enforcement/2410/2017/277

By Courier Service

Dated: July 31, 2018

Salta Capital Limited (DSE TREC#095)
Modhumita Building (9th Floor),
Room# 1002,
158-160, Motijheel C/A, Dhaka-1000.

Attention: Managing Director/CEO

Sub: **WARNING to ensure compliance of all securities related laws in future.**

This refers to the commission's show cause-cum-hearing notice no. BSEC/Enforcement/2410/2017/217 dated July 31, 2017 based on which the hearing was scheduled on August 24, 2017.

The Commission, considering your explanation vide letter dated August 24, 2017 submitted at the time of hearing, has decided to dispose of the proceedings against Salta Securities Limited (DSE TREC#095) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the stated default.

For Bangladesh Securities and Exchange Commission

Rashid
31.07.18

Hafiz Mohammed Harunur Rashid

Deputy Director (Enforcement)

Phone: 55007148

e-mail: hafiz@sec.gov.bd

Copy for information:

Commissioner (Enforcement), BSEC
Executive Director (Surveillance), BSEC
Executive Director (Law), BSEC
Executive Director (MIS), BSEC
Chairman's Office

[Signature]
02.8.18

D(MIS)

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02.08.18

Mr. Gour, AD