

BANGLADESH SECURITIES AND EXCHANGE COMMISSION

JABON BIMA TOWER (14, 15, 16 & 20 FLOOR). 10 DILKUSHA C/A. DHAKA-1000, BANGLADESH

E-6/C, Agargaon, Shere Bangla Nagar, Dhaka-1207

SEC/Enforcement/2162/2014/80

Date: March 19, 2017

Samorita Hospital Ltd. 89/1, Panthapath Dhaka - 1215

Attn: Managing Director

Subject: Warning: Non-compliance with Section 18 of the Securities and Exchange Ordinance, 1969 in connection with shareholding position.

Dear Sir.

This refers to BSEC's show cause-cum-hearing notice no. SEC/Enforcement/2162/2014/263 dated June 29, 2016 and the accused's explanation letter no. NIL dated August 08, 2016 submitted to the Commission.

The Commission considering the accused's explanation, has decided to dispose off the proceedings against it by placing on record the Commission's dissatisfaction on the defaults/contraventions made by it with a warning to ensure compliance of all securities related laws in future.

This disposal does not absolve Samorita Hospital Ltd as provided from his lawful responsibilities/obligations to any person, if affected as a result of the aforesaid default.

For Bangladesh Securities and Exchange Commission

Mustari Jahan

Deputy Director (Enforcement)

Distribution:

Managing Director, Dhaka Stock Exchange Limited Managing Director, Chittagong Stock Exchange Limited

Copy for information:

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P.O, to Executive Director (SRMIC), BSEC

P.O to Executive Director (MIS), BSEC

Chairman's office, BSEC