

BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/3411/2023/500 Dated: Julyas, 2023

By Special Messenger

Saya Securities Limited (CSE TREC No. 010) Ellal Chamber (7th Floor), 11 Motijheel C/A, Dhaka-1000, Bangladesh.

Attention: Managing Director/CEO

Subject: WARNING to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3411/2023/459 dated June 13, 2023 based on which the hearing was conducted on June 25, 2023.

Considering the explanation vide letter dated June 25, 2023 submitted at the time of hearing, the Commission has decided to dispose of the proceedings against the Saya Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission

Md. Amirul Islam

Assistant Director (Enforcement)

Phone: 01681009385

e-mail: amirul@sec.gov.bd

Copy for information:

1. P.S. to Chairman, BSEC

2. P.O to Commissioner (Enforcement), BSEC

3. P.O to Executive Director (ICTD), BSEC

4. P.O.to Executive Director (MIAD), BSEC