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Bangladesh Securities and Exchange Commission BSEC/Enforcement/3216/2021/40 By Co **By Courier Service**

Dated: January 05, 2022

Secure Capitals Limited CSE TREC # 020

9.1. Jú (m. 5) H.M.Plaza (6th floor). Room No.10. Sector # 3. Road # 02. Plot # 34 Uttara

Dhaka-1230

Attention: Managing Director/CEO

Subject: Warning to Ensure Compliance of All Securities Related Laws in Future.

Commission's show hearing the cause cum BSEC/Enforcement/3216/2021/1200 dated December 20, 2021 based on which the hearing was conducted on December 29, 2021.

The Commission, considering the explanation vide letter no. SCL/2021/498 dated December 29. 2021 which was submitted at the time of hearing, has decided to dispose of the proceedings against Secure Capitals Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission

Muhammad Sadequr Rahman Bhuiyan **Assistant Director (Enforcement)**

Copy for information:

Chairman's Office, BSEC Managing Director, Dhaka Stock Exchange Ltd. Executive Director (SRI), BSEC

Executive Director (MIS), BSEC