

SBC/Enforcement/3037/2021/414

Date: January 25, 2022

Shafiq Basak & Co. Chartered Accountants
Shatabdi Centre (6th Floor) 292,
Inner, Toyenbee Circular Road, Dhaka

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3037/2021/414 Date: June 16, 2021 based on which the hearing conducted on August 22, 2021.

The Commission, considering and examining the facts regarding allegations, physical meeting and your written explanations vide letter No. SBC/Aman/205/21 dated August 22, 2021 which was submitted at the time of hearing, has decided to dispose of the matter against you (Shafiq Basak & Co, Chartered Accountants) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/ obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,


25.01.2022.

Md. Sultan Salah Uddin
Deputy Director (Enforcement)
PABX: 88-02-55007131-2, Ext- 1306
E-mail: ssuddin@sec.gov.bd

Copy for information:

1. PS to the Honorable Chairman, BSEC
2. P.O. to Commissioner (Enforcement), BSEC
3. P.O to Executive Director (CFD), BSEC
4. P.O to Executive Director (MIS), BSEC
5. P.O. to Director (Enforcement), BSEC.