



# বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন

জীবন বীমা টাওয়ার (১৫, ১৬, ১৭ ও ২১তম তলা), ১০ দিলকুশা বা/এ, ঢাকা-১০০০, বাংলাদেশ।

E-6/C, Agargaon, Shere Bangla Nagar, Dhaka-1207

**BSEC/Enforcement/2269/2016/373**

September 24, 2018

**By Courier**

Shafiq Mizan Rahman & Augustine (Chartered Accountants)  
137/D/1, Jahanara Garden  
Flat # 3/A, (2nd Floor) Green Road  
Dhaka-1205

**Subject: Warning to ensure compliance of all securities related laws in future**

Dear Sir,

This refers to BSEC's show cause-cum-hearing notice no. BSEC/Enforcement/2269/2016/170 dated April 12, 2018 and the accused's explanation letter no. SMRA/SEC/DPL/2018/219 dated July 11, 2018 submitted to the Commission.

The Commission considering the accused's explanation has decided to dispose off the proceedings against them by placing on record the Commission's dissatisfaction on the defaults/contraventions made by them with a warning to ensure compliance of all securities related laws in future.

This disposal does not absolve Shafiq Mizan Rahman & Augustine (Chartered Accountants) from their lawful responsibilities/obligations to any person, if affected as a result of the aforesaid default.

For Bangladesh Securities and Exchange Commission

Mustari Jahan  
Deputy Director (Enforcement)  
**Phone : 55007137**  
**Email : mustari@sec.gov.bd**

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