



# BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/2028/2013/432

Dated: May 18, 2023

Shah Mohammad Sagir & Co. Limited.  
(DSE TREC # 171)  
Suite # 401, Modhumita Bhaban (3rd Floor)  
158-160, Motijheel C/A, Dhaka-1000.

**Subject: Warning to ensure compliance of all securities related laws in future.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2028/2013/684 dated April 27, 2022 and reschedule hearing notice no. BSEC/Enforcement/2028/2013/1156 dated August 31, 2022 based on which the hearing was conducted on September 31, 2022.

The Commission, considering your explanation letter ref no. SMSCL/Admin/Inv/2022/530 dated 15.09.2022 has decided to dispose of the proceedings against Shah Mohammad Sagir & Co. Limited. (DSE TREC # 171) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

18-05-2023

Rubel Hossain  
Assistant Director (Enforcement)  
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Mobile : 01922-151361.

Copy for information:

1. PO to Executive Director (MSI), BSEC.
2. PO to Executive Director (MIS), BSEC.
3. PS to Chairman, BSEC.
4. Managing Director, Dhaka Stock Exchange Ltd.