



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6 C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/2421/2017/ ১২৫৩
Dated: September 27, 2022

By Special Messenger

Shah Mohammad Sagir & Co. Limited
(DSE TREC-171)
Suite# 401, Modhumita Bhaban (3rd Floor),
158-160, Motijheel C/A, Dhaka-1000.

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2421/2017/1144 dated August 28, 2022 based on which the hearing was conducted on September 11, 2022.

The Commission, considering your explanation vide letter dated 12.09.2022 has decided to dispose of the proceedings against Shah Mohammad Sagir & Co. Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

27.09.2022

Md. Mahmudul Hasan
Assistant Director (Enforcement)
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Email: mahmudulhasan@sec.gov.bd

Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. PO to Executive Director (MIS), BSEC.
4. Managing Director, Dhaka Stock Exchange Limited