



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

HBBON BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DHILKUSHA C/A, DHAKA-1000, BANGLADESH

E-6/C, Agargaon, Shere Bangla Nagar, Dhaka-1207

BSEC/Enforcement/2464/2017/518

Dated: November 29, 2018

By Courier Service

Shah Mohammad Sagir & Co. Ltd. (DSE TREC # 171),
Suite#401, Modhumita Bhaban (3rd Floor),
158-160 Motijeel C/A, Dhaka-1000.

Attention: Managing Director/CEO

Sub: **WARNING to ensure compliance of all securities related laws in future.**

This refers to the commission's show cause-cum-hearing notice no. BSEC/Enforcement/2464/2017/460 dated October 29, 2018 based on which the hearing was scheduled on November 22, 2018.

The Commission, considering your explanation vide letter dated November 19, 2018 submitted at the time of hearing, has decided to dispose of the proceedings against Shah Mohammad Sagir & Co. Ltd. (DSE TREC # 171) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the stated default.

For Bangladesh Securities and Exchange Commission

Hafiz
29.11.18

Hafiz Mohammed Harunur Rashid

Deputy Director (Enforcement)

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