

**Bangladesh Securities and Exchange Commission**  
**Securities Commission Bhaban**  
**E-6/C, Agargaon**  
**Sher-e-Bangla Nagar Administrative Area**  
**Dhaka-1207, Bangladesh.**

**BSEC/Enforcement/2404/2017/361**  
**Date: November 26, 2017**

**By Courier Service**

Client Name: Ms. Aleya begum  
BO ID: 1202300030849294  
Shahed Securities Limited  
Room-526  
9/E, Motijheel C/A  
Dhaka-1000

**Attention:** Managing Director/CEO

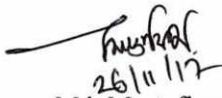
**Sub: Non-compliance of securities laws: -Warning.**

This refers to the Commission's show cause cum hearing notice no. **BSEC/Enforcement/2404/2017/252** dated September 10, 2017 based on which the hearing was conducted on September 27, 2017.

The Commission, considering the explanation vide letter dated September 27, 2017 has decided to dispose of the proceedings against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission

  
26/11/17

Md. Mustafizur Rahman  
Assistant Director

**Copy for information:**

Executive Director (Surveillance), BSEC  
Executive Director (R&D), BSEC  
Executive Director (MIS), BSEC  
Chairman's Office, BSEC