



বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন

জীবন বীমা টাওয়ার (১৫, ১৬, ১৭ ও ২১তম তলা), ১০ দিলকুশা বা/এ, ঢাকা-১০০০, বাংলাদেশ।

E-6/C, Agargaon, Shere Bangla Nagar, Dhaka-1207

BSEC/Enforcement/2440/2017/258

Date: July 11, 2018

Mr. Sajjatuz Jumma (Sponsor of Shahjalal Islami Bank Limited)
Shahjalal Islami Bank Limited
Concord Tower, Suit # 201
113, Kazi Nazrul Islam Avenue
Dhaka-1000

Subject: Warning: Non-compliance with securities law in connection with share buy sale declaration

Dear Sir,

This refers to BSEC's show cause-cum-hearing notice no. BSEC/Enforcement/2440/2017/180 dated April 12, 2018 and the accused's explanation letter no. NIL dated April 26, 2018 submitted to the Commission.

Since you submitted an **irrevocable** order for selling 18, 62,652 no. of shares of Shahjalal Islami Bank Limited on October 23, 2016 as per Notification no. SEC/CMRRCD/2009--193/49/Admin/03-48 dated July 14, 2010, your explanation supporting the non execution of the aforesaid sale order is not accepted by the Commission.

However as due to legal ground DSE did not disseminate the news in their website so considering the matter from the aspect of impact upon the investors the Commission has decided to dispose off the proceedings against you by placing on record the Commission's dissatisfaction on the defaults/contraventions made by you with a warning to ensure compliance of all securities related laws in future.

This disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected as a result of the aforesaid default.

For Bangladesh Securities and Exchange Commission


Mustari Jahan

Deputy Director (Enforcement)

Distribution:

Managing Director, Dhaka Stock Exchange Limited
Managing Director, Chittagong Stock Exchange Limited

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