

বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন

BSEC/Enforcement/2735/2019/1030

By Special Messenger

Dated: November 25, 2021

Shahjalal Islami Bank Securities Limited
(DSE TREC Holder No# 233)
DSL Building (3rd floor) 1/C, DIT Avenue,
Dainik Bangla Motijheel, Dhaka-1000.

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2735/2019/817 dated October 7, 2021 based on which the hearing was conducted on October 25, 2021.

The Commission, considering your explanation vide letter dated 25.10.2021 has decided to dispose of the proceedings against Shahjalal Islami Bank Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,


25.11.2021

Md. Mahmudul Hasan
Assistant Director-iii (Enforcement)
Mobile: 01515292195
Email: mahmudulhasan@sec.gov.bd

Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. PO to Executive Director (MIS), BSEC.
4. Managing Director, Dhaka Stock Exchange Ltd.

06 DEC 2021


08/12/2021
Director (MIS)

DD-I (MIS)