

বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন

BSEC/Enforcement/2825/2019/757

Dated: 05 October, 2023

By Special Messenger

Shakil Rizvi Stock Limited
(DSE TREC No. 149)
Modhumita Building (4th Floor)
158-160, Motijheel C/A, Dhaka-1000.

Subject: Warning to ensure compliance of all securities related law in future.

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement/2825/2019/198, Dated: 31 January, 2023 based on which the hearing was conducted on 12 March, 2023.

The Commission, considering and examining the facts regarding allegations and your written explanations vide letter dated 12 March, 2023 and 05 September, 2023 which was submitted at the time of hearing, has decided to dispose of the matter against you (Shakil Rizvi Stock Limited) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

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05.10.2023

Rabiul Islam
Assistant Director (Enforcement)
Mobile: 01731-421312
Email: rabiul@sec.gov.bd

Copy for information:

1. PS to the Honorable Chairman, BSEC
2. P.O. to Commissioner (Enforcement), BSEC
3. P.O. to Executive Director (MIS)
4. P.O. to Director (Enforcement), BSEC.
5. Managing Director, Dhaka Stock Exchange Ltd.