



# BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/3015/2021/1656

December 14, 2022

By Courier Service

Shammi Neawaz  
(BO ID 1605120067739801)  
Multi Securities & Services Limited.  
Shareef Mension, (5th Floor), 56-57,  
Motijheel C/A, Dhaka-1000

Attention: Managing Director/ CEO

**Subject:** WARNING to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3015/2021/709 dated May 17, 2022 based on which the hearing was conducted on June 05, 2022.

Considering the explanation vide letter dated June 05, 2022 submitted at the time of hearing, the Commission has decided to dispose of the proceedings against the Shammi Neawaz by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission

  
Md. Amirul Islam  
Assistant Director (Enforcement)  
Phone: 01681009385  
e-mail: amirul@sec.gov.bd

**Copy for information:**

1. P.S. to Chairman, BSEC
2. P.O to Commissioner (Enforcement), BSEC
3. P.O to Executive Director (MIS), BSEC
4. P.O.to Executive Director (MSI), BSEC
5. MD, DSE