



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/3409/2023/01

Dated: January 01, 2024

By Special Messenger

Shamsul Alam Shamim
BOID # 1201950060984031
EBL Securities Limited
Jiban Bima Bhaban (1st floor)
10 Dilkusha C/A. Dhaka-1000.


Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3409/2023/428 dated May 14, 2023 based on which the hearing was conducted on 11 June 2023.

The Commission, considering your explanation vide letter ref. nill dated 11.06.2023 has decided to dispose of the proceedings against Shamsul Alam Shamim by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,


01-01-2024
Rubel Hossain
Assistant Director (Enforcement)
E-mail: rubel@sec.gov.bd
Mobile : 01922-151361

Copy for information:

1. Chairman Office, BSEC.
2. PO to Executive Director (MIAD), BSEC; with reference to DSE letter ref. DSE/2021/IED/7910 dated 30 November 2021.
- ✓ 3. PO to Executive Director (ICTD), BSEC.
4. Managing Director, Dhaka Stock Exchange Ltd.