



বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন  
সিকিউরিটিজ কমিশন ভবন  
ই-৬/সি আগারগাঁও, শের-ই-বাংলা নগর প্রশাসনিক এলাকা, ঢাকা-১২০৭  
www.sec.gov.bd



BSEC/Enforcement/2848/2019-379  
Dated: July 15, 2020

Hosam Md. Sheraj,  
CEO of Shanta Securities Limited (DSE TREC Holder No. 039)  
Room # 603 ( 5th Floor), Stock Exchange Building, 9/F, Motijheel C/A, Dhaka-1000

**Sub: Warning to ensure compliance of all securities related law in future.**

This refers to the Commission's show cause cum hearing notice No. **BSEC/Enforcement/2848/2019-49 dated January 26, 2020** based on which the hearing has been conducted on February 05, 2020.

The Commission, considering the accused apology and written explanation- which was submitted at the time of hearing, has decided to dispose off the proceedings against you by placing on record the Commission's dissatisfaction on the default/contravention made by the accused with a **Warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you and any other involved from their lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

  
15.07.2020

Md. Rafiqunnabi  
Assistant Director  
e-mail- [rafiq@sec.bd.com](mailto:rafiq@sec.bd.com)  
Cell- 01786 327 667

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