Enforcement Division Enforcement Department Issuer Companies Affairs Section www.sec.gov.bd

BSEC/Enforcement/3802/2024/1132

Dated: 23 December, 2024

By Special Messenger

01. Sharp Securities Limited

(DSE TREC No. 216) Room # 245, DSE Tower (Level-12) Plot # 46, Road # 21, Nikunja-2, Dhaka-1229.

02. Mr. Akhtaruzzamanm

Branch in-charge Sharp Securities Limited

03. Muhammad Azizul Bari

compliance officer Sharp Securities Limited

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related law in future.

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement/3802/2024/1066 dated 08 December, 2024 based on which the hearing was conducted on 15 December, 2024.

The Commission, considering and examining the facts regarding allegations and your written explanations vide letter ref: SSL/Com/BSEC-En/1224/032 dated 15 December, 2024 which was submitted at the time of hearing, has decided to dispose of the matter against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

Rabiul Islam

\$ 23/12/2024

Assistant Director (Enforcement)

Mobile: 01731-421312 Email: rabiul@sec.gov.bd

Copy for information:

- 1. PS to the Honorable Chairman, BSEC
- 2. P.O to all Commissioner, BSEC
- 3. P.O to Executive Director (MIS)
 - 4. P.O to Executive Director (MIAD)
 - 4. P.O to Director (Enforcement), BSEC.
 - 5. Managing Director, Dhaka Stock Exchange PLC.