BSEC/Enforcement/3479/2023/62
Dated: January 31, 2024

1. **Sharp Securities Limited**
(DSE TREC # 216)
Room # 245, DSE Tower (Level-12)
Plot # 46, Road # 21, Nikunja-2, Dhaka-1229

2. **Mr. Muhammad Azizul Bari, Compliance Officer**
Sharp Securities Limited
Room # 245, DSE Tower (Level-12)
Plot # 46, Road # 21, Nikunja-2, Dhaka-1229

3. **Mr. Saiful Chowdhury, Accounts In-charge**
Sharp Securities Limited
Room # 245, DSE Tower (Level-12)
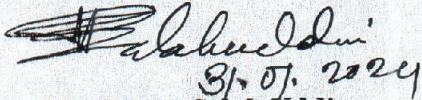Plot # 46, Road # 21, Nikunja-2, Dhaka-1229

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement/3479/2023/891 Dated: November 02, 2023 based on which the hearing was conducted on November 26, 2023.

The Commission, considering and examining the facts regarding allegations, physical meeting and your written explanations vide letter No. SSL/Com/BSEC-En/1123/028 dated November 26, 2023 which was submitted at the time of hearing, has decided to dispose of the matter against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with **a warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/ obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

31. 07. 2024

Md. Sultan Salah Uddin
Deputy Director (Enforcement)
IP PABX: 88-02-09609-100600, Ext- 1220
E-mail: ssuddin@sec.gov.bd

Copy for information:

1. PS to the Honorable Chairman, BSEC
2. P.O to Commissioner (Enforcement), BSEC
3. P.O to Executive Director (SMMID) [Ref: BSEC/SMMID/CCA/DSE/2023/666 dated September 27, 2023]
4. P.O to Executive Director (MIS), BSEC
5. P.O to Executive Director (Enforcement), BSEC
6. P.O to Director (Enforcement), BSEC.