

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/2862/2019/1112

By Special Messenger

Dated: December 12, 2021

Sharp Securities Limited
(DSE TREC holder no.216)
Room # 245, DSE Tower (Level-12)
Plot # 45, Road # 21
Nikunja-2, Dhaka-1219

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No.BSEC/Enforcement/2862/2019/951 dated November 09, 2021 based on which the hearing was conducted on November 22, 2021.

The Commission, considering your explanation vide letter ref. SSL/Com/BSEC-En/2111/043 dated November 22, 2021 has decided to dispose of the proceedings against Sharp Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission

Mahmuda Shireen

12.12.21

Mahmuda Shireen

Deputy Director (Enforcement)

E-mail: shireen@sec.gov.bd

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26.12.2021
Dir (MIS)

Copy for information:

1. P.S. to Chairman, BSEC
2. P.O.to Executive Director (SRI), BSEC
3. P.O to Executive Director (MIS), BSEC
4. Managing Director, Dhaka Stock Exchange Limited