





## **Bangladesh Securities and Exchange Commission**

BSEC/Enforcement/3016/2021 / 978 July 04, 2022

By Special Messenger

Sheikh Mohammad Ghulam Quibria Road # 4, House # 25, Flat #4/D Dhanmondi R/A, Dhaka-1205 Client Code: 49825

Attention: Managing Director/CEO, Globe Securities Ltd. With request to serve the letter to the

concerned person

Subject: WARNING to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3016/2021-657 dated September 19, 2021 based on which the hearing was conducted on October 11, 2021.

The Commission, considering the explanation vide letter dated October 11, 2021 submitted at the time of hearing, has decided to dispose of the proceedings against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission

Md. Yusuf Bhuiyan

Additional Director (Enforcement)

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## Copy for information:

Chairman's office, BSEC

P.O to Commissioner (Enforcement), BSEC

P.O to Executive Director (SRMIC), BSEC

P.O to Executive Director (MIS), BSEC

P.O to Executive Director (Enforcement), BSEC