

Bangladesh Securities and Exchange Commission
Enforcement Division
Enforcement Department
Issuer Companies Affairs Section
www.sec.gov.bd

BSEC/Enforcement/3851/2024/233
Dated: 03 February, 2025

By Special Messenger

01. Sheltech Brokerage Limited

(DSE TREC No. 120)
Otobi Center (Level-5),
14 Dilkusha C/A, Dhaka-1000.

02. Mr. Tanvir Ahmed

Managing Director and Compliance Authority
Sheltech Brokerage Limited

03. Mr. Md. Mesbah Uddin Khan

Chief Executive Officer
Sheltech Brokerage Limited

04. Mr. Syed Nazmur Reza

Chouhatta, Sylhet Branch In-Charge
Sheltech Brokerage Limited

05. Ms. Habiba Fardous Bintu

Chouhatta, Sylhet Branch Compliance Officer
Sheltech Brokerage Limited

Subject: Warning to ensure compliance of all securities related law in future.

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement/3851/2024/1209, Date: December 31, 2024 based on which the hearing was conducted on January 20, 2025.

The Commission, considering and examining the facts regarding allegations and your written explanations vide letter ref: SBL/DSE/TREC-120/BSEC Enforce/2025/020 dated 19 January 2025 which was submitted at the time of hearing, has decided to dispose of the matter against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,


Rabiul Islam
Assistant Director (Enforcement)
Mobile: 01731-421312
Email: rabiul@sec.gov.bd

Copy for information:

1. PS to the Honorable Chairman, BSEC
2. PO to Commissioner (Enforcement), BSEC
3. PO to Executive Director (MIS)
4. PO to Executive Director (MIAD) with reference to DSE letter No: DSE/MCD/BSEC/2024/2540, dated 30 April 2024
5. PO to Director (Enforcement), BSEC
6. Managing Director, Dhaka Stock Exchange PLC.