

Bangladesh Securities and Exchange Commission
Enforcement Division
Enforcement Department
Issuer Companies Affairs Section
www.sec.gov.bd

BSEC/Enforcement/3851/2024/234
Dated: 03 February 2025

By Special Messenger

01. Sheltech Brokerage Limited
(DSE TREC No. 120)
Otobi Center (Level-5)
14 Dilkusha C/A, Dhaka-1000.

02. Mr. Md. Jashim Uddin Mahmud
In-charge
Dhanmondi Extension office
Sheltech Brokerage Limited

Subject: Warning to ensure compliance of all securities related law in future.

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement/3851/2024/1210, Date: 31 December 2024 based on which the hearing was conducted on 20 January 2025.

The Commission, considering and examining the facts regarding allegations and your written explanations vide letter ref: SBL/DSE/TREC-120/BSEC Enforce/2025/021 dated 19 January 2025 which was submitted at the time of hearing, has decided to dispose of the matter against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,


Rabiul Islam
Assistant Director (Enforcement)
Mobile: 01731-421312
Email: rabiul@sec.gov.bd

Copy for information:

1. PS to the Honorable Chairman, BSEC
2. PO to Commissioner (Enforcement), BSEC
3. PO to Executive Director (MIS)
4. PO to Executive Director (MIAD) with reference to DSE letter No: DSE/MCD/BSEC/2024/2615, dated 06 May 2024
5. PO to Director (Enforcement), BSEC
6. Managing Director, Dhaka Stock Exchange PLC.