

**Bangladesh Securities and Exchange Commission**  
Enforcement Division  
Enforcement Department  
Market, Market Intermediaries and Other Affairs Section  
www.sec.gov.bd

**BSEC/Enforcement/3540/2024/355**

**Date: April 16, 2024**

- 1. Sheltech Brokerage Limited**  
(DSE TREC No. 120)  
Otobi Center (Level-5), 14 Dilkusha C/A, Dhaka-1000.
- 2. Mr. Tanvir Ahmed**  
Managing Director, Sheltech Brokerage Limited  
Otobi Center (Level-5), 14 Dilkusha C/A, Dhaka-1000.
- 3. Mr. Moin**  
Director, Sheltech Brokerage Limited  
Otobi Center (Level-5), 14 Dilkusha C/A, Dhaka-1000.
- 4. Mr. Mesbah Uddin Khan**  
Chief Executive Officer, Sheltech Brokerage Limited  
Otobi Center (Level-5), 14 Dilkusha C/A, Dhaka-1000.
- 5. Mr. Abu Miraz**  
Compliance Officer, Sheltech Brokerage Limited  
Otobi Center (Level-5), 14 Dilkusha C/A, Dhaka-1000.
- 6. Mr. Ashraful Islam**  
Authorized Representative, Sheltech Brokerage Limited  
Otobi Center (Level-5), 14 Dilkusha C/A, Dhaka-1000.

**Subject: Warning to ensure compliance of all securities related laws in future.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3540/2024/140 Date: February 11, 2024 based on which the hearing was conducted on February 29, 2024.

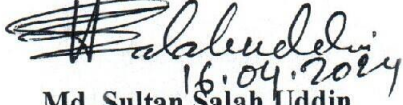
The Commission, considering and examining the facts regarding allegations, physical meeting and your written explanation vide letter no. SBL/DSE/TREC-120/BSEC\_Enforce/2024/55 dated February 25, 2024 which was submitted at the time of hearing relating to the complaint of Breg. General Md. Nazrul Islam (ret'd.) against Sheltech Brokerage Limited regarding unauthorized transactions in his BO account, has decided to dispose of the matter against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.



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For Bangladesh Securities and Exchange Commission,



Md. Sultan Salah Uddin

**Deputy Director (Enforcement)**

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E-mail: [ssuddin@sec.gov.bd](mailto:ssuddin@sec.gov.bd)

**Copy for information:**

1. PS to the Honorable Chairman, BSEC.
2. P.O. to Commissioner (Enforcement), BSEC.
3. P.O to Executive Director (SMMID), BSEC.
4. P.O to Executive Director (MIS), BSEC.
5. P.O. to Director (Enforcement), BSEC.
6. Managing Director, Dhaka Stock Exchange PLC. (Ref: DSE/RAD/ICALD/TREC\_120/2023/7300 dated December 19, 2023)