

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3301/2022/833

By Special Messenger

June 05, 2022

Shohrab Securities & Trade Limited
(DSE TREC holder no.225)
4th Floor, 9-G
Motijheel C/A Dhaka-1000

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No.BSEC/Enforcement/3301/2022/649 dated April 24, 2022 based on which the hearing was conducted on May 26, 2022.

The Commission, considering your explanation vide letter Ref: SST/BSEC/2022/107 dated May 26, 2022 has decided to dispose of the proceedings against Shohrab Securities & Trade Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission

Mahmuda Shireen
05.06.22

Mahmuda Shireen
Deputy Director (Enforcement)
E-mail: shireen@sec.gov.bd

Copy for information:

1. P.S. to Chairman, BSEC
2. P.O.to Executive Director (SRI), BSEC
3. P.O to Executive Director (MIS), BSEC
4. Managing Director, Dhaka Stock Exchange Limited