



বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন

শেখ হাসিনার হৃদয়
স্বাধীনতা, শ্রমিকদের উৎসাহ এবং পুঁজিবাজার

BSEC/Enforcement/3049/2021 ২৬৩

Dated: September 29, 2021

By Special Messenger

Shohrab Securities & Trade Ltd.
(DSE TREC No. 225)
4th Floor, 9-G, Motijheel C/A
Dhaka-1000

Attention: Managing Director/CEO**Subject: Warning to ensure compliance of all securities related laws in future.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3049/2021/404 dated June 13, 2021 and subsequent letter dated August 12, 2021 based on which the hearing was conducted on August 26, 2021.

The Commission, considering your explanation vide letter dated 25.08.2021 & 05.09.2021 has decided to dispose of the proceedings against Shohrab Securities & Trade Ltd. by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

Mohammad Al Masum Mirdha
Additional Director (Enforcement)
Phone : 55007147
Email: almasum@sec.gov.bd

Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. PO to Executive Director (MIS), BSEC.
4. Managing Director, Dhaka Stock Exchange Ltd