

বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন

BSEC/Enforcement/3019/2021/ 1170
August 31, 2022

By Courier Service

Mst. Shamima Hossain
(BO ID 1202400053807871)
Shyamol Equity Management Ltd.
Room # 319, 9/E,
Motijheel C/A, Dhaka-1000

Attention: Managing Director/CEO

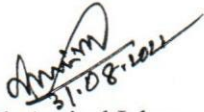
Subject: WARNING to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3019/2021/624 dated April 25, 2022 based on which the hearing was conducted on May 19, 2022.

Considering the explanation vide letter dated June 05, 2022 submitted at the time of hearing, the Commission has decided to dispose of the proceedings against the Mst. Shamima Hossain (BO ID 1202400053807871) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission


31.08.2022

Md. Amirul Islam
Assistant Director (Enforcement)
Phone: 01681009385
e-mail: amirul@sec.gov.bd

Copy for information:

1. P.S. to Chairman, BSEC
2. P.O to Commissioner (Enforcement), BSEC
3. P.O to Executive Director (MIS), BSEC
4. P.O. to Executive Director (SRI), BSEC
5. MD, DSE