



# BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

**BSEC/Enforcement/2814/2019/ ২২৭**  
**Dated: March 01, 2023**

**By Special Messenger**

Shyamol Equity Management Ltd.  
(DSE TREC Holder # 003)  
Room # 319, 9/E, Motijheel C/A,  
Dhaka-1000.

**Attention: Managing Director/CEO**

**Subject: Warning to ensure compliance of all securities related laws in future.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2814/2019/1399 dated October 26, 2022 based on which the hearing was conducted on November 13, 2022.

The Commission, considering your explanation vide letter dated 13.11.2022 and subsequent submission of inspection conducted by DSE Ref. No. DSE/MCD/T-003/BSEC/452 dated January 19, 2023 has decided to dispose of the proceedings against Shyamol Equity Management Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

  
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Md. Mahmudul Hasan  
Assistant Director (Enforcement)  
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Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. PO to Executive Director (MIS), BSEC.
4. Managing Director, Dhaka Stock Exchange Ltd.