

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/2604/2018/ ৭৪৯
Dated: May 26, 2022

By Special Messenger

Shyamol Equity Management Ltd.
(TREC Holder No. DSE #003)
Room Number #319, 9/E, Motijheel C/A
Dhaka-1000.

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2604/2018/208 dated February 03, 2022 based on which the hearing was conducted on February 14, 2022

The Commission, considering your explanation vide letter dated 14.02.2022 has decided to dispose of the proceedings against Shyamol Equity Management Ltd. by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

26.05.2022

Md. Mahmudul Hasan
Assistant Director-v (Enforcement)
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Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. PO to Executive Director (MIS), BSEC.
4. Managing Director, Dhaka Stock Exchange Ltd.