

Avf`k

thtnZi wmwKDwi wJR I G. tPA Kugkb (AZtci 0Kugkb0 etj Duj w-Z), k'vgj BK'BlU g'vfbRtgU wj t Gi Avte` bmtg, wbaWi Z kZfaxtb, ÷K-wWj vi wmwte KvR Kivi Rb' wmwKDwi wJR I G. tPA Kugkb AvBb, 1993 Gi aviv 10 G c0 E ¶lgZvetj Ges wmwKDwi wJR I G. tPA Kugkb (÷K wWj vi, ÷K tetKvi I Abtgw' Z c0Zwba) wewagvj v, 2000 Gi wewa 5 Gi AvI Zvq k'vgj BK'BlU g'vfbRtgU wj t (AZtci "÷K-wWj vi 0 etj Duj w-Z) Gi AbKtj ÷K-wWj vi wbeÜb mb` bs wbeÜb-3.1/WGmB-03/2008/284, Zwi Lt Rp 18, 2008 Bs c0 vb Kti tQ;

thtnZi wmwKDwi wJR I G. tPA Kugkb, k'vgj BK'BlU g'vfbRtgU wj t Gi Avte` bmtg, wbaWi Z kZfaxtb, wWcwRUwi AskMhYKvi x wmwte KvR Kivi Rb' wWcwRUwi (e'enwi K) c0eavbgvj v, 2003 Gi c0eavb 30 tgvZvteK k'vgj BK'BlU g'vfbRtgU wj t (AZtci "wWcwRUwi AskMhYKvi x/wWc0 etj Duj w-Z) Gi AbKtj wWcwRUwi AskMhYKvi xi wbeÜb mb` bs-GmBm/wbeÜb/wmwWwGj -wWw-116, Zwi Lt tg 22, 2008 Bs c0 vb Kti tQ;

thtnZi Rbve `mq` Gg, kvgmil` b Zvi c1 Zwi L Gucj 17, 2008 Bs Gi gva'tg AwfthwM Ktib th, Zvi mæfuz e'ZxZ `qvi dvgamDwJK'vj m&wj t (Bm'pvi) Gi 250 (`BKZ cAvk) w tkqvi k'vgj BK'BlU g'vfbRtgU wj t Zvi weli wmwve ntZ `vbsit` Kti tQ;

thtnZi XvKv ÷K G. tPA wj t (wWgMB) c1 m1 bsDSE/2008/Mon/2429 Zwi L Gucj 29, 2008 Bs Gi gva'tg k'vgj BK'BlU g'vfbRtgU wj t Gi Dci cwI Pwv Z AbmÜvb (investigation) c0Zte` tb, Ab'vbi`i gta', Dtj E-AvtQt

“-----i. Shyamol Equity Management Ltd., DSE Member No-03 has deposited the shares of Square Pharmaceuticals Limited of Mr. Sayed M. Shamsuddin, Client Code-S0019, BO ID No-1202400003939713 to Exchange DP of DSE as Margin on different date as under:

- 1. 250 Shares on April 15, 2008 (Business date),
- 2. 250 Shares on April 20, 2008 (Business date).

ii. As on April 20, 2008 the Shyamol Equity Management Ltd., DSE Member No-03 has withdrawn the margin deposit of 250 shares of Square Pharmaceuticals Limited from exchange DP of DSE and also deposited the same shares to the Account of Mr. Sayed M. Shamsuddin, BO ID No-1202400003939713.

From the findings of the records, the said member firm could not show any written consent from the said client in terms of depositing the shares to exchange DP of DSE as a margin deposit.-----
-----”;

thtnZi Kugkb KZR Bm'KZ show-cause-cum hearing notice m1 bs-SEC/Enforcement/720/2008/871, Zwi Lt Rj vB 8, 2008 Bs Gi tci¶tZ D3 ÷K wWj vi/wWwci e'e'vcbv cwI Pvj K Rbve mvtR`j Bmj vg AvM÷ 28, 2008 Bs Zwi tL Abv0Z i bvbxtZ Dcw`Z ntq Dnvi c1 m1 bs GmBGgGj /Gg-03/323/08 Zwi L AvM÷ 28, 2008 Bs Gi gva'tg tckKZ e3te", Ab'vbi`i gta', Dtj E Ktib th, “-----k'vgj BK'BlU g'vfbRtgU wj t, wmwKDwi wJR I G. tPA Kugkb nBtZ wWcwRUwi wbeÜb cvl qvi ci XvKv ÷K G. tPA wj t Gi wWwtZ tkqvi t`l qv/tbl qvi e'vcvti LpB mtPZb i wvqvQ | wMZ 20/04/2008Bs Zwi tL Client Code No.-S0019, BO No-1202400003939713 nBtZ 250wU `qvi dvgamDwJK'vj tkqvi hv tek wKQv` b cæe¶Uwj tcvtbi gva'tg Zvi KvQ t`tK BwZevPK gZvgtZi tci¶tZ DSE tZ Margin wmwte t`l qv nBqvQj | -----” hv Kugktbi wBKU MhYthwM` etj wetePZ nqwb;

thtnZi Dctiv³ Kvhrj vc ntZ cgbZ ntqtQ th, D³ ÷K wJ vi /wWic Dnvi KrtqUt` i veI AvBw ntZ wmkDwi wJR AvBb ein:fZfvte tkqvi DtEvj b ceR ÷K wJ vi /wWici gwRb wWtcwRU wntmte wWGMb Gi G. tPA wWictZ Rgv ti tL wWcRUwi AvBb,1999 Gi aviv 11, wWcRUwi (e`enwi K) cdeavbgjv, 2003 Gi cdeia 32(5), 42 Ges 45, wmkDwi wJR I G. tPA Kugkb (÷K wJ vi , ÷K tetKvi I Abtgv` Z cZubwa) weagjv, 2000 Gi weia 11, AvPiY weia 1 I 6 Ges ubeUb mbt` i kZ³bs 2 f½ Kti tQ; Ges

thtnZi Kugktbi Av`k bs SEC/SRMID/99-898/1466 Zwi L A±vei 9, 2007 Bs Gi gva`tg G. tPA wWictZ gwRb wntmte KrtqU Gi tkqvi weia ein:fZfvte Rgv ivLv mspvS-Z` s-cUZte` tbi wfwEtZ Duj wZ wWictK Kugkb KZR cT mT bs SEC/Enforcement/652/2007/82 Zwi L Rvbqvix 31, 2008 Bs Gi gva`tg mZK³Kiv ntqvQj ;

thtnZi Kugktbi wePbvq, wmkDwi wJR AvBb cwicvj tB Duj wZ e`_Zvi Rb`, Z_v cJRevRvti i ksljv I ^QZv i qvi t`_D³ ÷K wJ vi /wWictK Rwi gvbv Kiv cUqvRb I mgxPxb;Ges

AZGe, tmtnZi Kugkb, Duj wZ hvezix welq wePbvceR wmkDwi wJR AvBb mspvS-Duj wZ weia f½ Kivq kvvj BK`BwU gvfbRtgU wj t tK wWcRUwi AvBb, 1999 Gi aviv 15, wWcRUwi (e`enwi K) cdeavbgjv, 2003 Gi cdeia 53 Ges wmkDwi wJR I G. tPA Kugkb (÷K wJ vi , ÷K tetKvi I Abtgv` Z cZubwa) weagjv, 2000 Gi weia 12 Gi Aaxtb 1.00 (GK) j qJ UvKv Rwi gvbv avh³Kij hv A` Av` tki 15 (ctbi) w` tbi gta` UmkDwi wJR I G. tPA KugkbO Gi AbKtj BmjKZ e`vsk WtdU/tc-AWt`i i gva`tg Kugktb Rgv Kitz nte |

wmkDwi wJR I G. tPA Kugktbi ctqj-

tgvrvS` Avj x Lvb
fvi cUß tPqvi gv vb

wZi bt

kvgj BK`BwU gvfbRtgU wj t
(÷K wJ vi /wWic)
wWGMb m`m` bs-03
wWic bs-116
i`g bs-319
9/B, gwZwSj ei/G
XvKv-1000

bs-GmBwm/GbtdmfgU/720/2008/1379
Zwi Lt A±vei 13, 2008 Bs

wekl emK gvi dZ

k'vgj BK"BU g'vrbRtgU wj t
(÷ K wVj vi /wVvc)
wVGmB m`m` bs-03
wVvc bs-116
i"g bs-319
9/B, gwZwSj ev/G
XvKv-1000

welqt Av`k

Kugktbi A±vei 12, 2008 Bs Zwi tLi Av`k bs-GmBwm/GbtdmfgU/720/2008/1378 Gi mZ"wwqZ
Abvj uc Avcbvi AeMwZ I c"qvRbxq e"v M"tbi Rb" GZ`nstM mshy" Kiv ntj v|

wmKdwi wUR I G. tPA Kugktbi c"q|

c"xc Kgvi emvK
Dc-cwi Pvj K

AeMwZi Rb" Abvj vct

c"vb wbe"nx KgRZ", XvKv óK G. tPA wj wgtUW
uc, I, Uzwbef"nx cwi Pvj K (Avi GÚ wV), GmBwm
uc, I, Uzwbef"nx cwi Pvj K (mvtf"vY), GmBwm
uc, I, Uzwbef"nx cwi Pvj K (wmAvB), GmBwm
uc, I, Uzwbef"nx cwi Pvj K (tiwRtók), GmBwm
uc, I, Uzwbef"nx cwi Pvj K (AvBb), GmBwm
uc, I, Uzwbef"nx cwi Pvj K (GgAvBGm), GmBwm
tPqvi g'vb g'tnv`tqi `Bi, GmBwm