

## Bangladesh Securities and Exchange Commission

BSEC/Enforcement/2814/2019/14

Dated: January 02, 2021

By Special Messenger

Shyamol Equity Management Limited  
(DSE TREC No. 03)  
Room # 319, 9/E  
Motijheel C/A, Dhaka-1000.

B  
9-1-21  
Dw (MIS)

**Attention: Managing Director/CEO**

**Subject: Warning to ensure compliance of all securities related laws in future.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2814/2019/1212 dated December 21, 2021 based on which the hearing was conducted on December 30, 2021.

The Commission, considering your explanation vide letter dated 30.12.2021 has decided to dispose of the proceedings against Shyamol Equity Management Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

*Rubel*  
02-01-22

Rubel Hossain  
Assistant Director (Enforcement)  
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Mobile : 01922-151361.

Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. PO to Executive Director (MIS), BSEC.
4. Managing Director, Dhaka Stock Exchange Ltd.